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76

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail on MAY 10, 2002 in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231

Date 5/10/02 Sig: Marjorie Scariati  
Name: Marjorie Scariati

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT(S): Gordon Bechtel, et al.

SERIAL NO.: 10/043,643

FILING DATE: 1/11/02

TITLE: VIRTUAL STREAMING IN A CAROUSEL FILE SYSTEM

ART UNIT: 2171

DOCKET: D2505

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, DC 20231

LETTER

This is in response to the filing receipt dated 02/13/02. Please make the following correction to the receipt:

Correct the spelling of the 2<sup>nd</sup> inventor's name as follows:


Terence Brogan

This correction is marked in red on the attached filing receipt copy.

It is respectfully requested that the updated filing receipt that issues following receipt of the Response to Notice to File Missing Parts indicate the correct spelling of inventor

Brogan's name, as indicated on the cover sheet which accompanied the specification when  
filed on January 11, 2002.

Respectfully submitted,

  
Karin L. Williams      Reg. No. 36,721  
Mayer Fortkort & Williams, PC  
251 North Avenue West, 2<sup>nd</sup> Floor  
Westfield, NJ 07090

Date: 5/9/02  
Tel: (908) 518-7700  
Fax: (908) 518-7795

Receipt #3

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entry)**

Docket No.  
D2505

In Re Application Of: **Gordon Bechtel et al.**

MAY 23 2002

**COPY OF PAPERS  
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Serial No.  
10/043,643

Filing Date  
1/11/02

Examiner  
Unassigned

Group Art Unit  
2171

Invention: **VIRTUAL STREAMING IN A CAROUSEL FILE SYSTEM**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
2/13/02  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Recordation Form Cover Sheet, Patents Only  
Assignment in favor of General Instrument Corporation  
Transmittal of Information Disclosure Statement  
Information Disclosure Citation, Form PTO-1449  
1 copy of 1 US Patent  
Letter Requesting Correction to Filing Receipt  
Preliminary Amendment**



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILEDCOMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/043,643	01/11/2002	Gordon Bechtel	D2505

CONFIRMATION NO. 5978

27774  
MAYER, FORTKORT & WILLIAMS, PC  
251 NORTH AVENUE WEST  
2ND FLOOR  
WESTFIELD, NJ 07090

## FORMALITIES LETTER



\*OC000000007467332\*

Date Mailed: 02/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$252.  
    ▪ \$252 for 14 total claims over 20..
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1122.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/24/2002 MBERHE 00000101 501047 10043643

01 FC:101 740.00 CH  
02 FC:105 130.00 CH

03 FC:103 252.00 CH



UNITED STATES PATENT AND TRADEMARK OFFICE

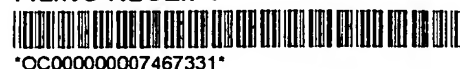
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/043,643	01/11/2002	2171	0.00	D2505	9	34	3

CONFIRMATION NO. 5978

27774  
MAYER, FORTKORT & WILLIAMS, PC  
251 NORTH AVENUE WEST  
2ND FLOOR  
WESTFIELD, NJ 07090

## FILING RECEIPT



\*OC000000007467331\*

Date Mailed: 02/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Gordon Bechtel, Residence Not Provided;  
Terence ~~Bergen~~ *Bergen*, Residence Not Provided;  
John Marcolini, Residence Not Provided;

## Assignment For Published Patent Application

General Instrument Corporation;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/261,693 01/12/2001

## Foreign Applications

If Required, Foreign Filing License Granted 02/12/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Virtual streaming in a carousel file system

RECEIVED

FEB 13 2002

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